



**SUN DEVIL**  
COMPLIANCE

SDA COACHES AND STAFF  
COMPLIANCE EDUCATION  
MARCH 21, 2017

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Integral to ASU's focus on integrity is athletics compliance—following the rules of the NCAA and Pac-12 Conference.

- Time Management Plan Updates
- Academic Integrity
- Summer School
- ACS

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Time Management Plan  
Updates

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### TIME MANAGEMENT PLAN UPDATES

- Define in the plan when the schedule will come out (e.g., monthly).
- Student-athletes must help create the policy points (e.g., timely notice of changes/additions).
- Hosting responsibilities and Saturday into Sunday overnight stays.
- Whether activities (e.g., community service or media activities) are voluntary.

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### Academic Integrity

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### ACADEMIC INTEGRITY TYPES OF VIOLATIONS

- Four types of academic integrity related violations:
- Departure from institutional academic misconduct investigation and adjudication policies and procedures (Bylaw 14.9.1(b));
  - Pre-enrollment academic misconduct (Bylaw 14.2.1);
  - Post-enrollment academic misconduct (Bylaws 14.02.1 and 14.9); and
  - Impermissible academic assistance (Bylaws 14.02.10 and 14.9.2.3).

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**ACADEMIC INTEGRITY**  
DEPARTURE FROM INSTITUTIONAL  
ACADEMIC MISCONDUCT POLICY

Institutions are required to maintain and follow academic misconduct policies.

- Institutional discretion as to:
  - Policy content and scope, and
  - Whether student activities violate policy.
- NCAA requires:
  - Policies must be written, accessible, and applicable to entire student body, and
  - Institutions must follow their own policies and procedures.

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**ACADEMIC INTEGRITY**  
PRE-ENROLLMENT  
ACADEMIC MISCONDUCT

Institutional representatives and prospects are prohibited from enrollment related academic misconduct, including:

- Arrangement for fraudulent academic credit or false transcripts;
- Failure to provide complete and accurate academic related information to the NCAA or institution; and
- Fraudulence or misconduct in connection with entrance or placement exams.

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**ACADEMIC INTEGRITY**  
POST-ENROLLMENT  
ACADEMIC MISCONDUCT

Academic misconduct occurs when:

- The conduct is determined to violate an institution's academic integrity policy; and
- The conduct:
  - Resulted in a falsification of a student-athlete's academic record;
  - Involved an institutional staff member or booster;
  - Resulted in an erroneous APR award; or
  - Resulted in a student-athlete competing or receiving athletics aid while ineligible.

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**ACADEMIC INTEGRITY**  
POST-ENROLLMENT  
ACADEMIC ASSISTANCE

Who is an “institutional staff member?”

- Any individual (except student employees generally) who performs work for the institution regardless of whether the individual receives compensation.
- Student employee may be considered institutional staff members if he or she:
  - Has institutional responsibilities to provide academic services to student-athletes; or
  - Engages in academic integrity violation at direction of a nonstudent employee, booster, or student employee who provides academic services to student-athletes.

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**ACADEMIC INTEGRITY**  
POST-ENROLLMENT  
ACADEMIC INTEGRITY

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    graph TD
      A[Did conduct violate the institution's academic misconduct policies & procedures?] --> B{If Yes}
      B --> C[Alteration or Falsification of Transcript or Academic Record]
      B --> D[Institutional Staff or Booster Involvement?]
      B --> E[Competed or Received Aid Based on Erroneous Declaration of Eligibility?]
      B --> F[Resulted in an erroneous awarding of APR?]
      C --> G{Yes to any?}
      D --> G
      E --> H{No to all?}
      F --> H
      G --> I((NCAA Academic Misconduct Violation))
      H --> J((No NCAA Violations))
  
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**ACADEMIC INTEGRITY**  
IMPERMISSIBLE ACADEMIC ASSISTANCE

Impermissible academic assistance occurs when:

- No determination conduct violated institutional academic integrity policy;
- An institutional staff member or booster provides the assistance;
- The assistance is not generally available to student-body or students in course;
- The academic assistance is substantial;
- The assistance is not permitted in Bylaw 16.3; and
- The academic assistance results in certification of eligibility or earn APR point.

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**ACADEMIC INTEGRITY**  
IMPERMISSIBLE ACADEMIC ASSISTANCE

Whether assistance is “substantial” is fact-specific.

- Substantial assistance requires both:
  - A considerable amount of assistance, and
  - The assistance be of significant value.
- Not substantial: proofreading, assisting a student with a problem on a math assignment, or tutoring.

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**ACADEMIC INTEGRITY**  
IMPERMISSIBLE ACADEMIC ASSISTANCE

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    graph TD
      Q1[Did conduct violate the institution's academic misconduct policies & procedures?] --> A1[If No]
      A1 --> B1[Assistance not permitted in Bylaw 16.3?]
      Q1 --> A2[If Yes]
      A2 --> Q2[Substantial academic assistance or exception?]
      Q2 --> A3[Yes to all?]
      Q2 --> A4[No to any?]
      A3 --> R1[NCAA Impermissible Academic Assistance Violation]
      A4 --> R2[No NCAA Violations]
      Q2 --> Q3[Not generally available to institution's students?]
      Q3 --> A5[Yes to all?]
      Q3 --> A6[No to any?]
      A5 --> R1
      A6 --> R2
      Q3 --> Q4[Provided by current or former institutional staff or representative of athletic interest?]
      Q4 --> A7[Yes to all?]
      Q4 --> A8[No to any?]
      A7 --> R1
      A8 --> R2
      Q4 --> Q5[Results in certification of eligibility?]
      Q5 --> A9[Yes to all?]
      Q5 --> A10[No to any?]
      A9 --> R1
      A10 --> R2
  
```

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**ACADEMIC INTEGRITY**  
IMPERMISSIBLE ACADEMIC ASSISTANCE

Summer School

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 **SUMMER SCHOOL**  
UPDATES

- Summer school budget
- Summer school process
- SEVIS

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Ask First!

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