



The slide features the ASU logo on the left, which includes the words "INTEGRITY", "DIVERSITY", "POTENTIAL", and "TRADITION" around a central torch icon. Below the logo, the text reads: "Integral to ASU's focus on integrity is athletics compliance—following the rules of the NCAA and Pac-12 Conference." To the right of the logo is a bulleted list:

- Pac-12 Level III Violations
- In The News
- Former Student-Athletes
- Lessons
- Super Bowl Reminder
- Camps and Clinics

At the bottom left, there is a small footer: "SUN DEVIL COMPLIANCE | COACHES' RULES EDUCATION - JANUARY 2020".

The slide is white with a black border. It features the text "/// Pac-12 Level III Violations" in a large, black, sans-serif font. At the bottom left, there is a small footer: "SUN DEVIL COMPLIANCE | COACHES' RULES EDUCATION - JANUARY 2020".

STUDENT HOST MONEY

- **Facts**
 - Assistant coach paid for the prospects to participate in team entertainment, but did not subtract this amount from the \$150 of student host money.
- **Penalties**
 - Prospects were ineligible until they donated the value of the benefit to a charity of their choice.
 - Rules education for the staff member.

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IMPERMISSIBLE BENEFITS - PROSPECTS

- **Facts**
 - Two football staff members (GA and quality control analyst) impermissibly provided two prospective student-athletes with appetizers during a meal in conjunction with an unofficial visit.
 - The two football staffers attended the meal at the direction of the assistant AD for recruiting.
- **Penalties**
 - Both PSAs were declared ineligible and will be required to donate their share of the impermissible benefit to a non-profit charity.
 - The GA, quality control analyst, and assistant AD for recruiting received letters of admonishment.
 - Rules education to the entire athletics staff.

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TRANSPORTATION OF RELATIVES

- **Facts**
 - The institution purchased flights for family members of three prospects who were taking official visits, so each family could sit next to each other on their flights.
 - An assistant coach told each family that they would have to reimburse the institution for each of the flights, which they did.
- **Penalties**
 - Institution declared each PSA ineligible until they were reinstated by NCAA.
 - Rules education to the coaching staff.
 - Letter of admonishment to the individual(s) responsible for the violation.

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INSTRUCTION OUTSIDE THE INSTITUTION

- **Facts**
 - Two current and one former women's basketball student-athlete utilized a private coach's services in an institutional facility.
- **Penalties**
 - Rules education to the coaching staff and team.
 - Rules education for private trainer.
 - Penalties will be enhanced if this occurs again.

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MISSED CLASS TIME

- **Facts**
 - Two women's basketball student-athletes missed class time for a team poster shoot.
- **Penalties**
 - SA # 1 was withheld from 3 hours of practice time to account for the 1.25 hours of class time she missed.
 - SA # 2 was withheld from a total of 3.5 hours of practice and training to account for the 1.5 hours of class time she missed.
 - Rules education for the entire academic staff and the institution educated the entire academic staff and women's basketball staff.

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IMPERMISSIBLE PROMOTIONAL ACTIVITY

- **Facts**
 - A violation occurred when a football student-athlete's image appeared on a restaurant's social media page.
- **Penalties**
 - Rules education for all student-athletes.
 - Institution declared the student-athlete ineligible for competition.
 - Institution provided the restaurant with a cease and desist letter requesting that the photo be removed from their social media account.

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VOLUNTARY WORKOUTS

- Facts
 - The assistant coach was present during an off day while the student-athlete hit with a non-student-athlete.
 - The assistant coach did not engage with the current student-athlete in any way. He did, however, witness the current student-athlete participate in a voluntary workout.
- Penalties
 - Standard two-for-one CARA penalty for the involved student-athlete imposed during the following week.

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NONCOACHING STAFF MEMBER

- Facts
 - The men's tennis director of operations engaged in on-court practice activities on two occasions with two men's tennis student-athletes.
- Penalties
 - Letter of admonishment to head coach.
 - Rules education to men's and women's tennis coaching staff.
 - Two-for-one practice penalty applied to the entire team (the director of operations engaged in 2.5 hours of practice activity so the team will be required to reduce practice by five hours).

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**/// IN THE NEWS:
HOUSTON**

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HOUSTON – FACTS

- Academic misconduct: One tutor wrote four papers for two student-athletes for money – Level II case.
- CARA overage: While the academic misconduct situation was being investigated, volleyball student-athletes brought forth CARA concerns to the administration – Level II case.

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HOUSTON – CARA FACTS

- Student-athletes were required to work summer camps.
- Women's volleyball staff members observed student-athletes participating in (and in some cases participated with student-athletes during) "pre-practice" activities.
- In total, the WVB program exceeded CARA limits by 39 hours over the course of approximately 18 months.

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HOUSTON – TAKEAWAYS

- The general rule is that academic misconduct violations involving institutional staff members (including student tutors) are Level I or II infraction cases.
- Requiring student-athletes to work camps/clinics is considered CARA and must be included in the student-athletes' daily and weekly hours limitations. In addition, student-athletes cannot be required to work camps/clinics during institutional vacation periods while the sport is out of season (e.g., summer).
- Pre-practice activities like warm-ups and individual workouts are countable activities when observed by staff members.

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HOUSTON – KEY QUOTES

- “The [COI] panel was troubled by the fact that violations went unreported, in part, due to student-athletes’ fear of retaliation from the head coach.”
- “Inherent in promoting an atmosphere of compliance is setting the proper tone throughout the program -- from the head coach down. That includes coaches, staff, and student-athletes alike having the freedom to report concerns to compliance and athletics administration.”
- “A culture of secrecy and intimidation fails to meet the membership’s expectation of head coaches. Such actions threaten the core values of the Association and are detrimental to the student-athlete experience.”

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/// FORMER STUDENT-ATHLETES

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PARTICIPATION IN PRACTICE

Former SDA student-athletes can practice with our teams as long as their participation is:

- On an occasional basis; and
- Not publicized before or during the practice sessions.

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/// LESSONS

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STAFF MEMBERS

- Staff members may not provide lessons to prospects (9th-12th graders) at any time (except WGO coaches).
- Staff members may provide lessons to 8th graders and below (6th grade and below for softball), but staff members
 - Must complete the Facility Use Request form if ASU facilities will be used.
 - Should notify the Compliance Office of lessons.

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STUDENT-ATHLETES

Student-athletes may provide lessons to any aged individual so long as the following are met,

- Institutional facilities are not used.
- Compensation is paid by the lesson recipient (or recipient's family).
- Student-athlete does not use his or her name, image, or likeness to promote the availability of lessons.
- ASU obtains and keeps on file documentation of all lessons.

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/// SUPER BOWL

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/// CAMPS/CLINICS

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OVERVIEW

- All camps/clinics, including noninstitutional and institutional, must be approved by the Compliance Office (Susanna).
- Any camp owned by an SDA employee is an institutional camp under NCAA legislation.
- Please complete all paperwork in a timely manner.

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NONINSTITUTIONAL, OUTSIDE CAMPS

- Coaches and staff must obtain approval from Susanna before committing to work at noninstitutional camps.
- Noninstitutional camps must meet all institutional camp legislative requirements (e.g., open to any and all).

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FORMS

All required forms for institutional camp/clinic approvals are in the Compliance Updates folder on your desktop:

- Camp/Clinic Description and Brochure Approval
- Facility Use Approval
- Staff and Student-Athlete Employment
- Documentation of Camp Registration, Logistics, and Financial Summary

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TIMELY SUBMISSION OF DATA

- Coaches and staff should submit all pre-approval forms well in advance of wanting to publish the camp.
- Coaches and staff should submit all post-camp forms ASAP, but no more than a month after conclusion of the camp.
- Please note that part of our baseball major infractions case dealt with poor record keeping of student-athlete employment.

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PURPOSE

- Places special emphasis on a particular sport and provides specialized instruction or practice, and may include competition*; or
- Involves activities designed to improve skills and knowledge of a particular sport.

*The purpose cannot be to play games and evaluate.

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ATTENDANCE REQUIREMENTS

- Must be open to any and all entrants* (limited only by number, age, grade, and/or gender).
- No free or reduced admission to prospects.
- May invite specific prospects who are eligible to receive recruiting materials (juniors and seniors in high school) provided the camp is legitimately advertised.

* Must include this language on advertisement.

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COVER LETTER REQUIREMENTS

May send a cover letter with camp/clinic information to prospects of any grade level, but the cover letter:

- Must be generic in format and content,
- Cannot include information relating to athletics program,
- Cannot include recruiting language or solicitation of the prospect to enroll at ASU, and
- Should be pre-approved by the Compliance Office.

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COVER LETTER TEMPLATE

January 16, 2018

Dear Recruit,

We have included with this letter information relating to upcoming Sun Devil Women's Basketball Camps. The camps will occur June 20-23 and June 27-30 in Wells Fargo Arena and Weatherup Basketball Facility.

You can also find our camp information online on our website www.TheSunDevils.com. You will find it under Sports>Women's Basketball>Inside W Basketball.

Sincerely,

Charli Turner Thorne
Head Coach

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ADVERTISEMENT REMINDERS

- SDA may not use current student-athletes (any student-athlete will eligibility remaining) to promote a camp or clinic.
- SDA may not post any photo or video of a prospect taken before, during, or after a camp.

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APPAREL AND AWARDS

Prospects may receive apparel and awards only if the costs are included in the camp/clinic admission or registration fees.

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RECRUITING ACTIVITIES

- Coaches are prohibited from recruiting during the camp or clinic.
 - No written or verbal offers of financial aid.
 - No recruiting presentations.
 - No recruiting conversations.
- Exception: Coaches may have recruiting *conversations* with prospects during institutional camps only once a prospect has reached the permissible date to take an unofficial visit.
- Official or unofficial visits may occur before or after the camp or clinic.

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CAMPUS TOURS

Coaches may arrange and conduct tours during institutional camps/clinics as long as the format of the tour has been approved by an institutional authority outside SDA (e.g., admissions).

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STUDENT-ATHLETE EMPLOYMENT

- May work at both ASU and noninstitutional camps/clinics.
- May be featured in camp/clinic advertisements (e.g., name, image, likeness) only in Staff section.
- Must be paid going rate.
- May not be paid based on skill level.
- May not be paid for lecturing or demonstrating.
- May not conduct own camp/clinic.

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PROSPECT EMPLOYMENT

May be employed at ASU's camps/clinics if one of the following conditions is met:

- Has a valid NLI (or FAA) with ASU, or
- Has paid ASU's enrollment deposit.

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PROPOSALS

- Prop 2019-34: Camps must be advertised for at least 14 days in advance.
- Prop 2019-52: Camps may be conducted only from December 15-February 1 or in June, July, or August.
- Prop 2019-53: No tours during camps except in facility used.

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CONCLUSION

Please submit all camps and clinics information (e.g., advertisements, facility use, employment, registration, and outside camps) to Susanna in a timely manner.

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ASK FIRST!
