Integral to ASU’s focus on integrity is athletics compliance—following the rules of the NCAA and the Pac-12 Conference.

- St. John’s Infractions Case
- Front Rush/ACS and Off Days
- Former Student-Athletes
- Siblings on Visits
- Lessons
- Super Bowl Reminder
- Camps and Clinics
- Outside Consultant Process Update

/// ST. JOHN’S INFRACTIONS CASE
As the COI has repeatedly warned, a prospect’s presence in an institution’s locale before enrollment creates an increased risk for violations of NCAA legislation.

The violations in this case stemmed from a prospect living in the area before enrollment.

Head coach arranged for the prospect to live with student-athletes.

The prospect paid proportional rent.

The head coach’s arrangement of the housing constituted an impermissible recruiting inducement.
ST. JOHN’S – SPORT SUPERVISOR

• The sport supervisor became aware of and met the prospect while she was living in the area.

• The sport supervisor “failed to recognize associated compliance issues and thus, did not bring this matter to the attention of the institution’s compliance staff for review.”

ST. JOHN’S – SPORT SUPERVISOR

• “The administrator did not recognize the potential for rules violations in the situation, nor did she see any need to inform the compliance office of the arrangement.”

• “Compliance is a shared responsibility among all institutional personnel.”

• “All have a duty to recognize potential problems and report them.”

// OFF DAYS
TRACKING OFF DAYS

To accurately reflect off days and additional off days on the Front Rush/ACS RARA calendars, coaches and staff must label:

- Regular off days as such;
- Additional off days as such; and
- The days as entire off days.

MID-YEAR ENROLLEES

All mid-year enrollees must be provided at least 7 additional days off. Examples,

- If a sport took 14 additional days off in the fall semester, a mid-year enrollee for that sport still needs to have 7 additional days off.
- If a sport did not take any additional days off in the fall semester, the mid-year enrollee only needs to have 7 additional days off (not 14).
PARTICIPATION IN PRACTICE

Former SDA student-athletes can practice with our teams as long as their participation is:
• On an occasional basis; and
• Not publicized before or during the practice sessions.

SIBLINGS ON VISITS

OV—MEALS/ENTERTAINMENT

• Meals — Siblings may receive meals if they are included in the four-family member count.
• Entertainment — Siblings may receive entertainment if they are included in the student host money allotment.
OV – LODGING/RECRUITING

• Lodging – Siblings may receive lodging if they are included in the four-family member count.
• Recruiting – Siblings may not be recruited during the visit (e.g., may not have any recruiting conversations with coaches and staff).

SIBLINGS – TOO YOUNG TO VISIT

Younger siblings (those not yet juniors) may accompany an older sibling on an official or unofficial visit so long as no recruiting conversations occur.

// LESSONS
**STAFF MEMBERS**

- Staff members may not provide lessons to prospects (9th-12th graders) at any time (except WGO coaches).
- Staff members may provide lessons to 8th graders and below (6th grade and below for softball), but staff members
  — Must complete the Facility Use Request form if ASU facilities will be used.
  — Should notify the Compliance Office of lessons.

**STUDENT-ATHLETES**

Student-athletes may provide lessons to any aged individual so long as the following are met,

- Institutional facilities are not used.
- Compensation is paid by the lesson recipient (or recipient’s family).
- Student-athlete does not use his or her name, image, or likeness to promote the availability of lessons.
- ASU obtains and keeps on file documentation of all lessons.
CAMPS/CLINICS

OVERVIEW

- All camps/clinics, including noninstitutional and institutional, must be approved by the Compliance Office (Susanna).
- Any camp owned by an SDA employee is an institutional camp under NCAA legislation.
- Please complete all paperwork in a timely manner.

NONINSTITUTIONAL, OUTSIDE CAMPS

- Coaches and staff must obtain approval from Susanna before committing to work at noninstitutional camps.
- Noninstitutional camps must meet all institutional camp legislative requirements (e.g., open to any and all).
FORMS

All required forms for institutional camp/clinic approvals are in the Compliance Updates folder on your desktop:

- Camp/Clinic Description and Brochure Approval
- Facility Use Approval
- Staff and Student-Athlete Employment
- Documentation of Camp Registration, Logistics, and Financial Summary

TIMELY SUBMISSION OF DATA

- Coaches and staff should submit all pre-approval forms well in advance of wanting to publish the camp.
- Coaches and staff should submit all post-camp forms ASAP, but no more than a month after conclusion of the camp.
- Please note that part of our baseball major infractions case dealt with poor record keeping of student-athlete employment.

PURPOSE

- Places special emphasis on a particular sport and provides specialized instruction or practice, and may include competition*; or
- Involves activities designed to improve skills and knowledge of a particular sport.

*The purpose should not be to play games and evaluate.
ATTENDANCE REQUIREMENTS

• Must be open to any and all entrants* (limited only by number, age, grade, and/or gender).
• No free or reduced admission to prospects.
• May invite specific prospects who are eligible to receive recruiting materials (juniors and seniors in high school) provided the camp is legitimately advertised.
• Must include this language on advertisement.

COVER LETTER REQUIREMENTS

May send a cover letter with camp/clinic information to prospects of any grade level, but the cover letter:

• Must be generic in format and content,
• Cannot include information relating to athletics program,
• Cannot include recruiting language or solicitation of the prospect to enroll at ASU, and
• Should be pre-approved by the Compliance Office.

* Must include this language on advertisement.

COVER LETTER TEMPLATE

January 16, 2019

Dear [Prospect],

We have included this letter information relating to our upcoming Sun Devil Women’s Basketball Camp. The camp will cover June 25-29 at Wells Fargo Arena and the Women’s Basketball Facility. You can also find our camp information online on our website [www.SunDevilTix.com]. You will find it under Sport/ Women’s Basketball/Women’s Basketball.

Sincerely,

[Coach's Name]
Head Coach

[ASU Athletic Department]
ADVERTISEMENT REMINDER

SDA may not post any photo or video of a prospect taken before, during, or after a camp.

APPAREL AND AWARDS

Prospects may receive apparel and awards only if the costs are included in the camp/clinic admission or registration fees.

RECRUITING ACTIVITIES

• Coaches are prohibited from recruiting during the camp or clinic.
  – No written or verbal offers of financial aid.
  – No recruiting presentations.

• Exception: Coaches may have recruiting conversations with prospects during institutional camps only (WLAX-only with juniors and seniors).

• Official or unofficial visits may occur before or after the camp or clinic.
CAMPUS TOURS

Coaches may arrange and conduct tours during institutional camps/clinics as long as the format of the tour has been approved by an institutional authority outside SDA (e.g., admissions).

STUDENT-ATHLETE EMPLOYMENT

- May be employed at
  - ASU’s camps/clinics; and
  - Noninstitutional camps/clinics.
- Must be paid going rate.
- May not be paid based on skill level.
- May not be paid for lecturing or demonstrating.
- May not conduct own camp/clinic.

PROSPECT EMPLOYMENT

May be employed at ASU’s camps/clinics if one of the following conditions is met:
- Has a valid NLI (or FAA) with ASU, or
- Has paid ASU’s enrollment deposit.
CONCLUSION

Please submit all camps and clinics information (e.g., advertisements, facility use, employment, registration, and outside camps) to Susanna in a timely manner.

OUTSIDE CONSULTANT PROCESS UPDATE

ASK FIRST!