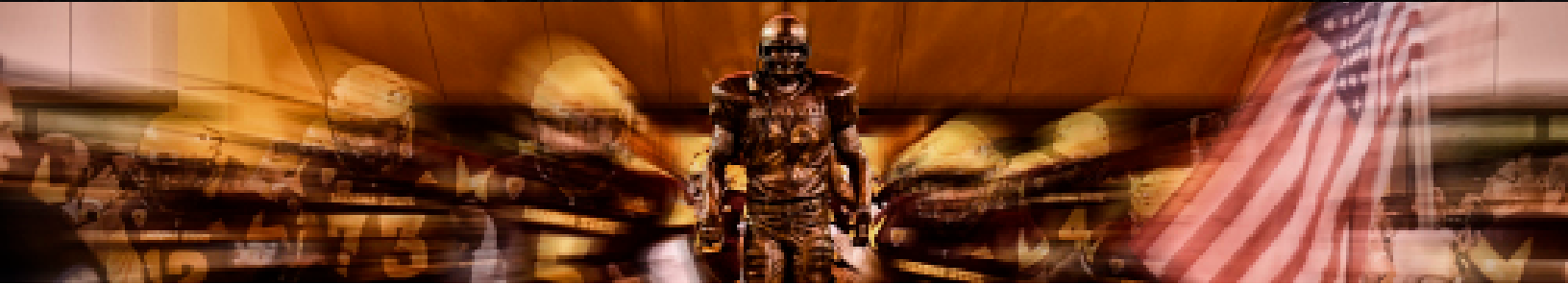




SUN DEVIL COMPLIANCE

VOLUME 13 - ISSUE 3 - OCTOBER 2023



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RECRUITING MATERIALS

The initial dates that coaches and staff may send or give recruiting materials to prospects are listed below.

Sport:	Cannot provide materials before:
Ice hockey	January 1 - sophomore year
Women's basketball	June 1 after sophomore year
All other sports	June 15 after sophomore year
Baseball	August 1 - junior year
Lacrosse and softball	September - 1 junior year



After first permissible date

Once it is permissible to send recruiting materials to a prospect, coaches and staff must abide by the following guidelines.

General correspondence (e.g., printed materials, emails, texts, direct messages)

- May be provided in person, during campus visits, or sent by mail or electronic correspondence.
- Printed materials may not exceed 8.5 by 11 in.
- Envelopes may not exceed 9 by 12 in.
- No content or design restrictions (except no copyright violations).

Photos (e.g., camera photo, Snapchat photo)

- May send by electronic correspondence.
- No content or design restrictions.

Videos and animations (e.g., GIF, Snapchat video)

- May be shown in person or sent by electronic correspondence.
- May be created for recruiting purposes and can be personalized now.

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Get to know your Compliance Office



Steven Bari
Associate Director

1. Hometown: Erie, Pennsylvania
2. Alma mater: LSU Eunice (A.A.), University of Louisiana at Lafayette (B.A.), Manhattan College (M.S.)
3. Quote that defines Steven: "Sometimes I'll start a sentence and I don't even know where it's going. I just hope I find it along the way."
4. Hobbies outside of work: Video Games, Creative Writing, Trivia
5. I can beat the game Super Mario World in less than 20 minutes

IN THE NEWS

University of Tennessee

The University of Tennessee was penalized by the Committee on Infractions for violations in the football program relating to recruiting inducements before and during the COVID-19 dead period as well as impermissible benefits to current student-athletes and their family members.

Before the pandemic, the football program operated a scheme to bring prospects to campus on impermissible paid visits. The football staff arranged and paid for lodging, meals, facility tours, entertainment, and other inducements to prospects on unofficial visits. The scheme continued into the COVID-19 pandemic after the NCAA DI Council implemented the dead period. On nine separate occasions, the football program arranged visits for six prospects and their guests.

The COI acknowledged in its decision that Tennessee has dedicated significant financial and personnel resources to its compliance program. The COI also acknowledged that the coaches and other football staff in this case intentionally worked to conceal their conduct from the compliance staff. Nevertheless, Tennessee was charged with failure to monitor because the football staff and the athletics administration failed to establish a culture of compliance, and the compliance office's monitoring systems did not detect or deter the violations.

Penalties included significant financial penalties, a vacation of wins by the football team for contests in which ineligible student-athletes competed, reductions in recruiting visits, recruiting communications, and off-campus recruiting days, reduction of football scholarships, and three significant show-cause orders for the former head coach and two recruiting staff members. In addition, the institution disassociated itself from a prominent booster for an indefinite period.

KEY TAKEAWAYS:

1. Athletics administrators and sport coaches and staff are just as responsible for monitoring the sport programs as the compliance staff.
2. Institutional and athletics department policies such as the unofficial visit policy do not work if sport programs find ways to try to circumvent them. All unofficial visits must be logged under at least industry standards, if not more. And administrators and coaches are just as responsible as the compliance staff in making sure the information is accurate.
3. If a failure to monitor charge occurs in a case, it serves as a conduit to remove mitigators and add aggravators, which ultimately enhances the penalties provided to a sports program and department.

KEY QUOTES:

1. "In light of these facts, the parties and the COI discussed whether a failure to monitor or lack of institutional control violation can occur even with a strong compliance program headed by [the NAAC president]. In short, it can, and it did. The institution failed to monitor."
2. "Compliance is a shared responsibility that extends beyond just the compliance staff. Institutional leadership, athletics leadership, and each individual sport program all have a responsibility to ensure that fundamental monitoring obligations are met. They also share the responsibility of creating a culture of compliance in which individuals feel empowered to report violations."
3. "Effective monitoring includes creating an atmosphere where individuals feel comfortable asking questions and reporting concerns. While adherence to industry standards and best practices is undoubtedly important in operating a compliance program, it is not a safe harbor. Monitoring is about more than that, and it is bigger than just the compliance office."

SPOT THE VIOLATION

Sophie is a top high-school women's basketball prospect who just finished an official visit at ASU. After her visit, a local collective member hears that Sophie visited ASU and reaches out to the women's basketball coaching staff. The collective member is a fan of Sophie and wants to discuss NIL opportunities with Sophie that she can receive at ASU. The assistant coach starts a group chat introducing the collective member to Sophie.

FALL LIEU CHECK DATES

August 23 - September 20 - October 18 - November 15 - November 29

NLI REMINDERS

Signing period dates

- All sports except basketball and football: November 8, 2023 through August 1, 2024
- Basketball: November 8-15, 2023 and April 17 through May 15, 2024
- Football: December 20-22, 2023 and February 7 through April 1, 2024 (and December 20, 2023 through January 15, 2024 for midyear junior college transfers)
- Dead period for all sports except football: November 6-9, 2023
- Dead period for football: December 18 through January 11, 2024

Eligibility

A prospect must register with the Eligibility Center. And coaches should submit a transcript and test score (if applicable) to the Compliance Office for evaluation.

Agreement

An NLI is a binding agreement that, once signed by a prospect, requires the prospect to attend the signing institution for one academic year, provided the prospect meets all applicable university, conference, and NCAA rules for receipt of aid.

The NLI must be accompanied by a financial aid agreement, which serves as the institution's commitment to provide financial aid for a designated period.

Recruiting ban

Once a prospect signs an NLI, other institutions may not recruit the prospect.

NLI penalty

A prospect who does not attend the signing institution or attends for less than one full academic year may not represent a second institution in competition until he or she completes one academic year in residence. The prospect also loses a season of competition.

SPORTS WAGERING

Although sports wagering is now permissible under Arizona state law, SDA coaches, staff, and student-athletes are still bound by the NCAA sports wagering rules, which prohibit:

- Providing any information (e.g., game plans, disciplinary issues, or injuries to team members) to individuals involved in organized gambling activities.
- Engaging in any activities designed to illegally influence the outcome of an intercollegiate contest.

Additionally, please remember that daily fantasy sports leagues (e.g., DraftKings, FanDuel) are prohibited under NCAA rules.

Please click the video for more information regarding NCAA sports wagering rules.



AND THE ANSWER IS . . .





The assistant coach facilitated an impermissible contact between a booster (collective member) and Sophie. In addition, the assistant coach violated publicity legislation when she commented about Sophie to the booster. In short, collective members are boosters. They are not permitted to engage in recruiting activities, including recruiting conversations with prospects.

RECRUITING CALENDARS

For information about permissible dates for various recruiting activities, check out our Recruiting 101 tip sheet, available [here](#). And please see sport recruiting calendars listed below.

OCTOBER 2023

CALENDAR KEY

 EVALUATION PERIOD
  CONTACT PERIOD
  QUIET PERIOD
  DEAD PERIOD

(Recruiting Period in MBB)

WOMEN'S BASKETBALL

1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

BASEBALL

1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

MEN'S BASKETBALL

1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

FOOTBALL

1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

SOFTBALL

1*	2*	3*	4*	5*	6*	7
8*	9*	10*	11*	12*	13*	14
15	16*	17*	18*	19*	20*	21
22	23*	24*	25*	26*	27*	28
29	30*	31*				

ALL OTHER SPORTS

1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

* Indicates dates evaluations allowed at scholastic and nonscholastic practices and competitions. On all other dates, evaluations may only occur at scholastic practices and competitions.

Please note this table has dates that run until October 31. Many of the periods run past October 31.

Visit the [NCAA Recruiting Calendars website](#) for full recruiting calendars and NCAA sport-specific recruiting guides.

ASK FIRST!