



SUN DEVIL COMPLIANCE

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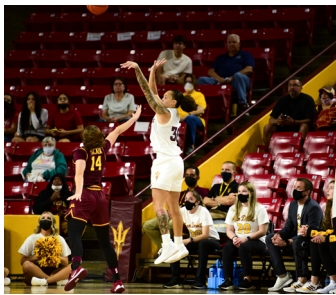


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SPEAKING ENGAGEMENTS

The NCAA speaking engagement rules were recently modernized. The new rules are outlined below. As always, please have the entity requesting the engagement fill out the [speaking engagement or appearance request form](#) or the [virtual speaking engagement request form](#).



In Person

- During a contact, evaluation, recruiting, or quiet period, a coach or sport-specific noncoaching staff member* may speak at or attend a meeting or banquet with prospects in attendance without using a contact or evaluation so long as:
 - The event is initiated and conducted by a non-ASU entity,
 - No recruiting presentation is made and no evaluation activities occur, and
 - There is no direct contact with prospects or prospect family members.
- During a dead period, a coach or sport-specific noncoaching staff member cannot speak at a meeting or banquet with prospects in attendance.

* Sport-specific noncoaching staff members may not speak at or attend meetings or banquets at a prospect's educational institution.

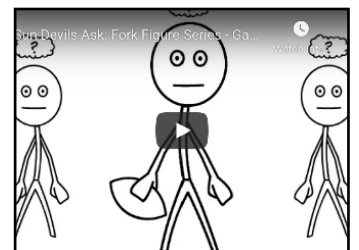
Virtual (e.g., podcast, videoconference)

SDA staff may participate in virtual speaking engagements where prospects will be part of the audience provided the request is initiated by a non-ASU entity and the staff member does not make a recruiting presentation or have any recruiting conversations. Note that restrictions related to prospect coach participation in virtual events have been removed.

SUPER BOWL: DON'T BET ON IT!

SDA staff members are prohibited from betting money on any sporting event—college, professional, or otherwise—in which the NCAA conducts collegiate championships. This includes Super Bowl prop bets and squares that require you to pay an entry fee.

For more information, click on the Compliance Office's sports wagering video to the right.



2021-22 COMPLIANCE STAFF

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OUTSIDE INDIVIDUAL COMPETITION

Subject to the conditions below, a student-athlete may participate in outside competition as an individual at any time in his or her sport as either a member of an SDA team or as an unattached individual.

Because mistakes related to individual competition carry serious consequences (e.g., using a season of competition or violating amateurism rules), student-athletes must fill out the Outside Competition Approval form in ARMS before participating in outside competition.

COMPETING IN UNATTACHED INDIVIDUAL COMPETITION

General Rules

A student-athlete competing unattached from SDA may maintain redshirt status or not use a date of competition if the student-athlete:

- Represents only him- or herself and not a club, team, or other organization;
- Pays his or her own expenses, including all travel and competition expenses (i.e., they only receive expenses from parents, legal guardians, or event sponsors);
- Does not receive any coaching or instruction from SDA staff members; and
- Does not use SDA-issued uniforms or apparel still used by SDA in competition (note that a student-athlete may use SDA equipment (e.g., golf bag, bicycle)).

Prizes and Awards

Student-athletes may accept prize money if it does not exceed their “actual and necessary expenses” and is provided by the event sponsor. The term “actual and necessary expenses” is limited in scope and defined in the NCAA manual—contact the Compliance Office with questions.

COMPETING FOR SDA IN OUTSIDE INDIVIDUAL COMPETITION

General Rules

If a student-athlete participates in outside individual competition representing SDA, this participation must be counted toward individual NCAA competition limits. SDA may provide travel expenses, SDA coaches may coach during these competitions, and student-athletes may use current SDA-issued uniforms.

Prizes and Awards

Student-athletes may not receive any prizes or awards for their place or finish when competing for SDA in an outside individual competition. So student-athletes may not accept any prize money, even if it does not exceed actual and necessary expenses. In addition, the Pac-12 has confirmed that neither SDA nor the SDA sport program may receive the prize money on the student-athlete’s behalf.

SPOT THE VIOLATION

Toward the end of the season, the University of Argon track team had a weekend off. Argon’s top sprinter, Brad, decided to compete in an outside event. Brad had already participated in the maximum number of track dates of competition, so he planned to compete unattached. Brad’s parents paid for him to fly to an event in Florida and also paid for Brad’s two-night stay at a hotel. The day of the race, Argon’s sprinting coach called Brad to tell him he happened to be recruiting in Florida that weekend, and offered to coach him at the race. Brad accepted. Wearing his Argon-issued sprinting spikes, Brad won the first place cash prize of \$50,000. Brad used \$1,000 of the prize money to pay his parents back for the flight and hotel, and used the rest to buy a new car.

IN THE NEWS

UTEP - CARAs and Coaching Limitations

UTEP was penalized when its softball program violated CARA legislation and its football and softball programs violated coaching limitation legislation.

The NCAA investigation began when student-athletes informed the new head softball coach about the former coaching staff's custom of "extras," which involved student-athletes requesting extra practice sessions and individual workouts with coaches that were not counted in CARA logs. Although the student-athletes initiated the requests and indicated they did not mind the extra work, these "extras" put the student-athletes over their daily and weekly hour limitations and often occurred on off days, resulting in playing and practice season violations.

While investigating the CARA issues, UTEP also discovered that softball graduate assistant managers provided technical and tactical instruction to student-athletes on several occasions during practices, "extras," and even games. During the same investigation, the enforcement staff discovered that football noncoaching staff members were also performing coaching activities during practices. In both sports, the staff members indicated that although UTEP's compliance office gave them clear guidance regarding coaching restrictions, they engaged in coaching activities in violation of NCAA rules because they were interested in coaching and wanted to gain experience.

KEY TAKEAWAYS:

1. Coaches and staff must use ARMS to verify that a student-athlete has daily and weekly CARA hours remaining before working with a student-athlete. Even if a student-athlete requests a coach's participation in extra practices or workouts, a coach may not work with the student-athlete if the student-athlete's daily/weekly hour limitations are exhausted. If, however, the student-athlete has additional CARA hours available for the week, and it is not the student-athlete's off day, a coach may participate in individual sessions with a student-athlete so long as the sessions are recorded in the sport's ARMS calendar and CARA logs.
2. Head coaches must make sure no coaches or noncoaching staff members observe student-athlete activities on off days. Except for limited exceptions (e.g., safety exception), any athletically-related activities observed by coaches or noncoaching staff members are not voluntary under NCAA rules, and therefore cannot occur on a day off.
3. Head coaches must monitor their staffs to ensure noncoaching staff members, including graduate managers, do not provide technical or tactical instruction to student-athletes or engage in any on-field activities at any time, especially if the staff members hope to pursue careers in coaching. A manager or noncoaching staff member's desire to gain coaching experience is not a compelling reason to violate coaching limitation legislation.

INITIAL ARRIVAL TO CAMPUS

Generally, freshmen and transfers may arrive in the Tempe locale the day before either:

- The first practice if a sport is in season,
- Summer mandatory workouts (basketball and football only),
- Classes begin for a session (summer or regular term), or
- An ASU orientation session open to all incoming students within 14 days of the first day of classes (fall term).

Coaches and staff should tell their prospects when to arrive to campus. A list of those arrival dates are provided below. And if an incoming student-athlete decides to move to the locale before these dates, you are required to develop a monitoring plan in consultation with the Compliance Office.

Summer Sessions A and C	Summer Session B	Fall 2022 Semester
<ul style="list-style-type: none">▪ Session starts: May 16▪ Prospects may arrive: May 15	<ul style="list-style-type: none">▪ Session starts: June 29▪ Prospects may arrive: June 28	<ul style="list-style-type: none">▪ ASU orientation: August 15▪ Prospects may arrive: August 14

AND THE ANSWER IS . . .

Brad and the track program violated playing and practice season and amateurism rules. Because Brad received coaching on race day from an Argon coach, he could no longer be considered to be competing unattached. He therefore was required to use a date of competition, which put him over the maximum allowable dates for the year. If Brad was truly competing unattached, it would have been permissible to accept prize money up to the amount of his actual and necessary expenses. But because he was not truly competing unattached, he was not eligible to receive prize money, and therefore violated amateurism rules by accepting the cash prize. And even if he had competed unattached, the \$50,000 prize greatly exceeded his actual and necessary expenses.

RECRUITING CALENDARS

For information about permissible dates for various recruiting activities, check out our Recruiting 101 tip sheet, available [here](#). And please see sport recruiting calendars listed below.

FEBRUARY 2022

CALENDAR KEY



EVALUATION PERIOD



CONTACT PERIOD
(Recruiting Period in MBB)



QUIET PERIOD



DEAD PERIOD

FOOTBALL

		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28					

BASEBALL

		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28					

WOMEN'S BASKETBALL

		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28					

VOLLEYBALL

		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28					

SOFTBALL

		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28					

ALL OTHER SPORTS

		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28					

Softball evaluations only at scholastic practices and competitions.

Please note this table has dates that run until February 28. Many of the periods run past February 28.

Visit the [NCAA Recruiting Calendars website](#) for full recruiting calendars and NCAA sport-specific recruiting guides.

ASK FIRST!